

**Racecourse Compliance Check List**

Racecourse: ..... Betting Ring Manager:.....

Date of Race Meeting:..... Time of First Race:.....

Betting Area	Pitch Time (if different from standard)	No. of Bookmakers in attendance		Betting Area	Pitch Time (if different from standard)	No. of Bookmakers in attendance

**If any issues of non-compliance, concerns or deficiencies are identified at any time during a raceday, these must be drawn immediately to the attention of the Racecourse**

**Actions Undertaken**

Please confirm that all Betting Ring Services, as outlined overleaf, have been carried out. Yes [ ] No [ ]

If NO, please confirm why all Betting Ring Services have not been carried out:.....  
 .....  
 .....

**Daily Report**

Please indicate, in the box below, any issues to report including any where further action is required:

Signed (Betting Ring Manager):.....

Signed (Racecourse Representative):.....

## Before Racing

- 1 Report on arrival to the Racecourse Office and undertake any registration requirements as necessary.
- 1 Confirm with the Racecourse representative at the Badge Box:
  - (a) that all Bookmakers have paid for their Betting Badge, have provided photographic identification and have completed the necessary registration requirements
  - (b) the number of Bookmakers who have paid a Betting Administration Fee (1 per position) for invoicing purposes
  - (c) confirm all Bookmakers have paid the Annual Marketing Fee.
- 3 Allocate positions in all Betting Areas in accordance with notified procedures.
- 4 Ensure compliance with the Position Allocation Policy, including during racing where appropriate and confirm to the Racecourse that this has been complied with.

## During Racing

- 5 Confirm that each joint is displaying the correct mandatory notices e.g. minimum stakes, each-way terms, Euro exchange rate, etc. and confirm that this has been complied with.
- 6 Ensure that any Bookmakers that depart from Tattersalls Rules display a notice stating the different terms, and that this signage is clearly visible to racegoers.
- 7 All reasonable steps have been taken to identify any person who is found to be accepting bets illegally (i.e. unlicensed Bookmakers or Bookmakers operating outside the designated Betting Areas without the permission of the Racecourse) and bring to the attention of the Racecourse Security Personnel immediately if identified.
- 8 Ensure that only licensed Bookmakers or their suitably accredited employees are accepting bets at their allocated pitches. Spot check Bookmaker and staff badges as necessary.
- 9 Ensure that all Bookmakers conduct activities from a joint that is compliant with the agreed specification, and that any faulty or damaged equipment is reported to the Racecourse, along with details of action taken regarding removal or replacement.
- 10 Check which Bookmakers are networking and ensure that such networking is conducted in accordance with the relevant rules. Provide a report of all networked positions, other than those between the same Bookmaker, to the Racecourse.
- 11 Report to the Racecourse any incidences of suspected pitch renting/sharing.
- 12 Confirm that Bookmakers are using umbrellas compliant with the current rules, including rules regarding third party advertising, and ensure that umbrellas are lowered during races unless it is raining.
- 13 Ensure that Rails boards are lowered during races.
- 14 Report to the Racecourse immediately any Bookmaker who is seen betting in-running away from their joint.
- 15 Report to the Racecourse immediately any person who is seen to be Face Timing or otherwise transmitting live pictures of the racing to third parties.
- 16 Ensure that Bookmakers have completed the necessary registration form if they are working for another Bookmaker. Details maintained centrally by AGT.
- 17 Ensure that any Bookmaker using a mobile telephone or telecommunications system has notified the Badge Box.
- 18 Ensure that any Bookmaker using a Betting Exchange and/or telecommunications equipment has notified the Badge Box.
- 19 In the event of a withdrawn horse, the withdrawn horse form is completed and provided to the Clerks of the Scales.
- 20 Resolve any disputes between Bookmakers and punters (that they were unable to resolve) and complete the attached form if the dispute is to be reviewed by Tattersalls Committee. Notify the Racecourse Manager of these.
- 21 Monitor Bookmakers to ensure they do not commit any act that is likely to adversely affect the strength or integrity of the Starting Price. Report to the Racecourse Manager any concerns regarding Bookmakers excess margins.
- 22 Ensure compliance by Bookmakers and their staff with the Racecourse Terms and Conditions of Entry as applicable to any Betting Area. Bookmakers are responsible for their staff.
- 23 Bring to the attention of a Racecourse immediately any breaches of the Racecourse's Terms and Conditions of Entry, particularly Special Conditions E.
- 24 Notify to Racecourse security personnel immediately after becoming aware of the presence in the Betting Area of an excluded person, or any other person whose conduct causes concern.
- 25 At the direction of a Racecourse representative, to impose any penalty on any Bookmaker (or their staff) which may include excluding or expelling them from a Betting Area or the Racecourse Premises.
- 26 Liaise, as necessary, with the police, Racecourse security personnel, BHA officials, Gambling Commission, Local Authority and the representatives of other responsible authorities, to prevent illegal betting or other undesirable and illegal activities at any Racecourse.
- 27 Ensure that no Bookmaker dismantles their joint or leaves the Betting Area until ten minutes after the weighed-in signal has been given in respect of any race on which they have an unsettled cash claim in respect of any bet at any Meeting. If, following that period, the Bookmaker has an unsettled cash claim outstanding they shall leave with the Betting Area Manager the amount due to the winning customer; full details of the terms of the bet and details of the receipt number. Report to the Racecourse any Bookmaker who fails to comply with these requirements.
- 28 Liaise, as necessary, with the Racecourse Manager, Racecourse staff, suppliers and contractors.

## After Racing

- 29 Provide verbal and written reports to the Racecourse Manager at the end of each Raceday relating to the administration of Betting Areas as reasonably required by any Racecourse.
- 30 Complete and file reports to the Gambling Commission or other relevant bodies as appropriate.
- 31 Undertake any exit registration requirements as advised by the Racecourse.